

# FEDERAL BUREAU OF INVESTIGATION

Form No. 1

THIS CASE ORIGINATED AT

NEW YORK CITY

NY FILE NO.

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REPORT MADE AT <b>NEW YORK CITY</b>	DATE WHEN MADE <b>8/21/41</b>	PERIOD FOR WHICH MADE <b>8/14/41</b>	REPORT MADE BY <b>R. C. ANDERSON (A)</b>
TITLE <b>FRITZ THYSSEN</b>			CHARACTER OF CASE <b>ESPIONAGE - G FOREIGN FUNDS</b>

**SYNOPSIS OF FACTS:**

CORNELIS LIEVENSE, President of Union Banking Corporation, states that company wholly owned by Bank Voor Handel en Scheepvaart, Rotterdam, which, in turn, to the best of his knowledge, is owned by Baron THYSSEN BORNEVIESZE (phonetic) a Hungarian citizen and brother of FRITZ THYSSEN. All stock of Union Banking Corporation, except directors' qualifying shares, registered in name of E. ROLAND HARRIMAN since formation of corporation, held by him as nominee for Bank Voor Handel en Scheepvaart; directors' qualifying shares likewise held by them as nominees for above institution.

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**REFERENCE:** Report of Special Agent R. C. Anderson, New York, N. Y., dated August 9, 1941, in case "German Funds: Miscellaneous Information Concerning," New York file [redacted]

**DETAILS:** This investigation is predicated upon a telephone call received by Special Agent (A) NATHAN FRANKEL from Mr. S. S. RUBENSTEIN of the Bureau at 5:15 p.m. on August 13, 1941, at which time Mr. RUBENSTEIN requested that it be definitely ascertained how E. R. HARRIMAN got the stock of the Union Banking Corporation in his name; why the stock was held in the physical possession of CORNELIS LIEVENSE, and who actually owned the Union Banking Corporation.

APPROVED AND FORWARDED: *E. J. Connelley* SPECIAL AGENT IN CHARGE

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[REDACTED]

An examination of the stubs in the stock certificate book of the Union Banking Corporation reflects the following:

3,991 shares are registered in the name of E.  
HOLLAND HARRIMAN.

These shares are issued in 9 certificates,  
7 of which are for 500 shares each,  
1 for 490 shares, and  
1 for 1 share.

1 certificate for 4 shares registered in the name  
of CORNELIS LIEVENSE.

1 certificate for 1 share registered in the name  
of H. J. KOUWENHOVEN.

1 certificate for 1 share registered in the name  
of JOHANNES BROENINGER.

1 certificate for 1 share registered in the name  
of H. D. PENNINGTON.

1 certificate for 1 share registered in the name  
of RAY MORRIS.

1 certificate for 1 share registered in the name  
of PRESCOTT S. BUSH.

LIEVENSE stated that KOUWENHOVEN was a Dutch citizen and that BROENINGER was German born but had been a naturalized Dutch citizen for many years.

All of the above stockholders merely held the stock as nominees for the Bank voor Handel en Scheepvaart, and every time a dividend is declared, the minute book reflects that the stockholders direct a letter to the Union Banking Corporation stating that they are merely nominees and directing that the dividend be paid to the Bank voor Handel en Scheepvaart.

With reference to the statement shown in reference report to the effect that the physical possession of the shares is held by LIEVENSE, PENNINGTON advised that these stock certificates are in the vault of the Union Banking Corporation at the Chase National Bank. In order for LIEVENSE to have access to this vault, he must